



07-18.03

Express Mail No. EU074143275US  
Attorney Docket No.: AM-6180

#7  
1763 07/20/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICATION OF: Edwin Charles Weldon et al.

SERIAL NO.: 10/027,683

FILED: December 21, 2001

FOR: METHODS OF ROUGHENING A CERAMIC  
SURFACE

§ GROUP ART UNIT: 1763

§

§ EXAMINER: Unknown

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§ Attorney Docket No.:

§ AM-6180

Date: July 17, 2003

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

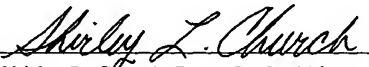
Applicants are submitting the subject Supplemental Information Disclosure Statement under 37 CFR § 1.97(b)(3). This Supplemental Information Disclosure Statement is being submitted prior to the receipt of a first Office Action in the subject application. This Supplemental Information Disclosure Statement is being submitted for the purpose of submitting references which were cited in a Search Report in the corresponding international patent application.

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**CERTIFICATE OF MAILING UNDER 37 CFR § 1.10**

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as U.S. EXPRESS MAIL NO. EU074143275US in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: July 17, 2003

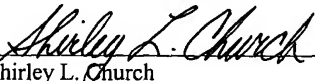
  
Shirley L. Church, Reg. No. 31,858

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Applicants do not believe that any fee is due in connection with the filing of this Supplemental Information Disclosure Statement under 37 CFR § 1.97(b)(3). However, in the event that a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 50-1512 of Shirley L. Church, Sunnyvale, California, in the amount of such fee.

This transmittal letter is submitted in duplicate for accounting purposes.

Respectfully submitted,

  
Shirley L. Church  
Registration No. 31,858  
Attorney for Applicants

Correspondence Address:  
Patent Counsel  
Applied Materials, Inc.  
P.O. Box 450-A  
Santa Clara, California 95052

FORM PTO-1449  
(Equivalent)

U.S. Department of Commerce  
Patent and Trademark Office

U.S. Application Serial No.  
10/027,683

Atty. Docket No.  
AM-6180

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Edwin Charles Weldon et al.  
Applicants

December 21, 2001  
Filing Date

1763  
Group



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U. S. PATENT DOCUMENTS

Examiner <u>Initial</u>	Document <u>Number</u>	Issue <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Filing Date <u>If Appropriate</u>
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FOREIGN PATENT DOCUMENTS

Examiner <u>Initial</u>	Document <u>Number</u>	Publication <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Translation <u>If Appropriate</u>
_____	DE 4235333	03/03/94	Stoll et al.	G03F	007/00	Abstract
_____	JP 06024878	02/01/94	Olympus Optical Co. Ltd.	C04B	041/88	Abstract

Examiner \_\_\_\_\_ Date Considered \_\_\_\_\_

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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§ Attorney Docket No.:

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR § 1.97(b)(3)**

**Hon. Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**

Sir:

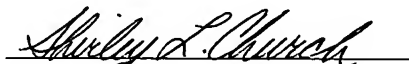
In accordance with 37 CFR § 1.97(b)(3), applicants hereby request consideration of this Supplemental Information Disclosure Statement. This Supplemental Information Disclosure Statement is being submitted prior to the receipt of a first Office Action in the subject application. This Supplemental Information Disclosure Statement is being submitted for the purpose of submitting references which were cited in a Search Report in the corresponding international patent application.

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Date: July 17, 2003

  
Shirley L. Church, Reg. No. 31,858

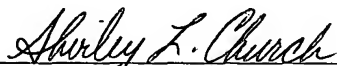
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Submitted herewith is a copy of a Search Report in corresponding PCT Application No. PCT/US02/21089. Applicants are providing copies of the two references which were cited in the Search Report. The English language abstracts of the German and Japanese references cited were downloaded from the Derwent World Patents Index, because the European Patent Office did not provide copies of the references with the transmission of the Search Report.

The submission of this Supplemental Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior art" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

If the Examiner has any questions, s/he is respectfully requested to contact the undersigned attorney at the telephone number set forth below.

Respectfully submitted,

  
\_\_\_\_\_  
Shirley L. Church  
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